

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 24, 1997

PFIZER INC  
DR. PETER C. RICHARDSON  
235 EAST 42ND STREET, 20TH FLOOR  
NEW YORK, NEW YORK 10017-5755

PTAS



\*100419438\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE  
U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE  
AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED  
BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION  
CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE  
PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR  
HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE  
NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR  
CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION,  
BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/09/1997

REEL/FRAME: 8505/0830  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
GINES, DAVID LEE

DOC DATE: 03/25/1997

ASSIGNEE:  
VALLEYLAB INC  
5920 LONGBOW DRIVE  
BOULDER, COLORADO 80303

SERIAL NUMBER: 03636548  
PATENT NUMBER:

FILING DATE: 04/09/1997  
ISSUE DATE:

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1595  
1-31-92 **MLD 49-97**U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents

100419438

Original documents or copy thereof.

61123 U.S. PTO  
08838548  
05/09/97

## 1. Name of conveying party(ies):

David Lee Gines

Additional name(s) of conveying party(ies) attached? o Yes x No

## 2. Name and address of receiving party(ies):

Name: Valleylab Inc

Internal Address: \_\_\_\_\_

5920 Longbow DriveBoulder, COStreet Address: 5920 Longbow DriveCity: Boulder State: CO ZIP: 80303

Additional name(s) &amp; address(es) attached? o Yes x No

## 3. Nature of conveyance:

- ☒ Assignment  
☐ Security Agreement  
☐ Other \_\_\_\_\_
- ☐ Merger  
☐ Change of Name

Execution Date: March 25, 1997

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 3/25/97

A. Patent Application No.(s)

B. Patent No. (s)

Additional numbers attached? o Yes x No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dr. Peter C. RichardsonInternal Address: Pfizer IncStreet Address: 235 East 42nd Street, 20th Floor  
City: New York State: New York ZIP: 10017-5755

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

16-1445

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Aaron Passman  
Name of Person SigningAaron Passman  
Signature4/9/97  
Date

Total number of pages including cover sheet, attachments and document: 1

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231; and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

CONFORMS WITH FORM PTO-1595

M.27  
6/92

330 LC 16-1445 05/16/97 08838548

33185 491

40.00CH

PC9743  
Sole Inventor  
ValleyLab

A S S I G N M E N T

For valuable consideration, the receipt and adequacy of which is hereby acknowledged, I, David Lee Gines of 1300 30th Street, D10-16, Boulder, Boulder County, Colorado, 80303 U.S.A. hereby sell, assign and transfer unto VALLEYLAB, INC., a corporation organized and existing under the laws of the State of Colorado and having a place of business at 5920 Longbow Drive, Boulder, Colorado, the entire right, title and interest in and to my application for Letters Patent of the United States, executed the 25th day of March, 1997, entitled Electrosurgical Generator with Adaptive Power Control and my entire right, title and interest in and to all my inventions, whether joint or sole, disclosed in said application for Letters Patent, and in and to all applications filed for Letters Patent for any of said inventions in any country, and in and to all patents granted on the foregoing applications, and I hereby agree, whenever requested, to communicate to said assignee, its successors and assigns, any facts known to me respecting said inventions, to testify in any legal proceeding, and to execute all applications or papers

3/91  
ASSIGN.5  
(1/2)

PC9743  
Sole Inventor  
ValleyLab

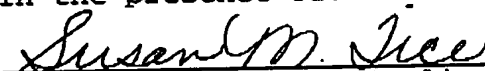
necessary to obtain and maintain proper patent protection on  
said inventions in all countries.

Signed and witnessed this 25th day of March, 1997

at Boulder, Colorado  
(City, State)

  
David Lee Gines

In the presence of:

  
(Witness as to Applicant)

Susan M. Tice  
(Typed or Printed Name of Witness)

3/91  
ASSIGN.5  
(2/2)



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SEPTEMBER 01, 1999

PTAS

UNITED STATES SURGICAL CORPORATION  
JOHN C. ANDRES  
VICE PRESIDENT AND GENERAL COUNSEL  
150 GLOVER AVENUE  
NORWALK, CT 06856

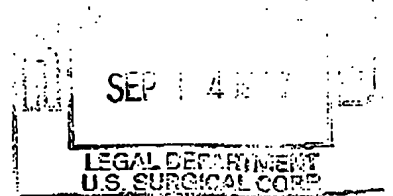
NOG

✓



\*101080053A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**



THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/18/1999

REEL/FRAME: 010060/0235  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
VALLEYLAB INC

DOC DATE: 05/20/1999

ASSIGNEE:  
SHERWOOD SERVICES AG  
SCHWERTSTRASSE 9  
CH-8200 SCHAFFHAUSEN, SWITZERLAND

SERIAL NUMBER: 09209323  
PATENT NUMBER:

FILING DATE: 12/11/1998  
ISSUE DATE:

2100 CON

SERIAL NUMBER: 09217314  
PATENT NUMBER:

FILING DATE: 12/21/1998  
ISSUE DATE:

2107 CON

SERIAL NUMBER: 09177950  
PATENT NUMBER:

FILING DATE: 10/23/1998  
ISSUE DATE:

2174

SERIAL NUMBER: 09178027  
PATENT NUMBER:

FILING DATE: 10/23/1998  
ISSUE DATE:

2175

AUG-22-2000 10:51

USSC LEAD

P.09/12

010060/0235 PAGE 2

SERIAL NUMBER: 09176292  
PATENT NUMBER:

FILING DATE: 10/21/1998  
ISSUE DATE:

2182

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

**PATENT ASSIGNMENT**

WHEREAS, Valleylab, Inc. ("Valleylab") a Colorado corporation having a place of business at 5920 Longbow Drive, Boulder, Colorado, 80301-3299, is the owner of certain patents in various countries throughout the world, including but not limited to those identified in the attached Schedule A (the "Patents"), all issued patents and pending patent applications, foreign equivalents and continuations of the Patents, and the goodwill represented by the Patents;

WHEREAS, Sherwood Services AG ("Sherwood"), a Swiss corporation having a place of business at Schwertstrasse 9, CH-8200 Schaffhausen, Switzerland, is desirous of acquiring the Patents, all issued patents and pending patent applications, foreign equivalents and continuations thereof and the good will represented by the Patents;

NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Valleylab assigns to Sherwood, all right title and interest in and to the Patents, all issued patents and pending patent applications, foreign equivalents and continuations thereof, and the goodwill represented by the Patents, together with the right to sue and to collect damages for past infringements of the Patents;

IN WITNESS WHEREOF, Valleylab has caused this assignment to be executed by its duly authorized officer on the date indicated below.

VALLEYLAB, INC.

Dated as of May 20, 1999

By: 

Its: \_\_\_\_\_

Secretary

06-30-1999

P.11/12

Form PTO-1595  
1-31-92

101080053

U.S. Department of Commerce  
Patent and Trademark Office

# RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<b>1. Name of conveying party(ies):</b> VALLEYLAB INC Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No		<b>2. Name and address of receiving party(ies):</b> Name: Sherwood Services AG Schwertstrasse 9 CH-8200 Schaffhausen Switzerland Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>3. Nature of conveyance:</b> <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: May 20, 1999		RECEIVED AUG 31 2000 TECHNOLOGY CENTER 3700	
<b>4. Application number(s) or patent number(s):</b> If this document is being filed together with a new application, the execution date of the application is: _____			
<b>A. Patent Application No.(s)</b> 09/209,323 09/217,314 09/177,950 09/178,027 09/176,292		<b>B. Patent No.(s)</b>	
Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No			
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b> Name: John C. Andres, Vice President and General Counsel C/O United States Surgical Corporation 150 Glover Avenue Norwalk, CT 06856		<b>6. Total number of applications and patents involved:</b> [5] <b>7. Total fee (37 CFR 3.41):</b> \$100.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account <b>8. Deposit account number:</b> 21-0550 (Attached duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE			
<b>9. Statement and signature.</b> To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
7/29/1999 FC:581 Name of Person Signing: <u>NEED DO GH</u>		Signature: <u>Neil D. Se</u> Date: <u>6/7/99</u>	

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents & Trademarks, Box Assignments  
 Washington, D.C. 20231